

## **Checklist**

New On-Licence or Renewal of On-Licence Sections 100 and 127(2), Sale and Supply of Alcohol Act 2012

- Use this checklist is assist you in lodging a complete application and to avoid delays in processing. Your application will be accepted based on this checklist to ensure that it has sufficient information to commence processing.
- All items on this checklist must be ticked to show that they are provided. Additional information may be requested during the processing of your on-licence to confirm compliance.

ffice use	Applica use	nt						
		New and renewal application						
		Application Fee						
	<ul> <li>Provide a detailed A4 scale floor plan of the premises showing: (please use a highlighter pen)</li> <li>the perimeter of the licensed area including any outdoor areas, the food and bar servery and the free water outlets</li> <li>areas that are to be restricted or supervised</li> <li>all principal entrances</li> <li>location of tables and chairs, toilets and kitchen</li> <li>the use of footpath for outdoor dining (if applicable - note: Licence to Occupy will be required from Waitomo District Council)</li> </ul>							
	<ul> <li>CCTV placement and security lighting (if applicable)</li> <li>A Host Responsibility Policy and details in an Implementation Plan of how the Host Responsibility Policy will be put into practice</li> </ul>							
		Copy of the Public Notice - Form 7						
	$\bigcirc$	NZ Fire Service evacuation statement - completed and returned with the application						
		Copies of each Manager's Certificate for those nominated to manage the premises						
	$\bigcirc$	Copies of any material you supply to staff for training purposes						
		New on-licence application only						
		Where the applicant is incorporated, a copy of the certificate of incorporation						
	Ŏ	Where the applicant is a partnership, a copy of the Partnership Agreement						
	Ö	Financial Plan						
	$\tilde{\bigcirc}$	A photograph or artist's impression of the exterior of the premises						
	Ŏ	A street map showing the location if the premises						
	$\bigcirc$	Copy of proposed menu and a list of alcoholic and non-alcoholic refreshments						
	$\bigcirc$	Written statement from the owner of the building consenting to the applicant selling alcohol on the premises. (Note the consent must be from the same party as detailed in section 3 of the application)						
		A Certificate of Compliance with the Resource Management Act and Building Act for the purposes of the Sale and Supply of Alcohol Act 2012						
		hat the above information is included with this application and all questions within the application nanswered in full.						
N	ame (n	rint clearly)						
	Name (print clearly)							
S	Signatur	re Date						
0	ffice Us	se Only						
Ļ		Lord should be						
А	ccepted	I and checked by Date Amount paid Receipt no						



# **Application for On-Licence or**

Renewal of On-Licence
Sections 100 and 127(2), Sale and Supply of Alcohol Act 2012
(Form 3)

(rom 5)
To the Secretary, District Licensing Committee Waitomo District Council  Application for On-Licence is made in accordance with the particulars set out below.  On-Licence (new)
Renewal of On-Licence Licence No. Expiry date
1. Details of Applicant
Full Legal Name(s) to be on-licence
Postal address for service of documents
Contact person
Contact numbers
Phone (home) Phone (work) Mobile
Email address
Preferred mode of contact
Is a licence already held for the premises or conveyance concerned? Yes No
If Yes, what type of licence?
Applicant Status: (under section 28 of the Sale and Supply of Alcohol Act 2012 what is the status of the applicant?)
Natural Person Local Authority Body Corporate to which Section 28(1)(b) of the Act applies
Private Company Trustee Manager under the Protection of Personal & Property Rights Act 1988
Partnership Public Company Government Department or other instrument of the Crown
Club Licensing Trust Board, organisation, or other body to which section 28(1)(f) of the Act applies
Community Trust
2. Further Applicant Details
Further details where applicant is an individual person
Discount data of high
Place and date of birth  Birthplace Date of birth
Also known as (aliases) Occupation
Residential address
Further details where applicant is a body corporate
Date of Incorporation
Place of Incorporation
Further details where applicant is a private company
Date of Incorporation
Place of Incorporation
Capital Authorised capital Paid up capital

Full details of each director, s	ecretary and each person who holds share issued by the company							
Name	Position held							
Address								
Place of birth	Date of birth							
Value of shares								
Name	Position held							
Address								
Place of birth	Date of birth							
Value of shares								
Name	Position held							
Address								
Place of birth	Date of birth							
Value of shares								
Further details where app	licant is a public company							
Date of Incorporation								
Place of Incorporation								
Capital Authorised capital Paid up capital								
Capital	Authorised capital Paid up capital							
_	ecretary and each person who holds 20% or more of the shares, or of any particular							
Full details of each director, s	ecretary and each person who holds 20% or more of the shares, or of any particular							
Full details of each director, s class of shares issued by the	ecretary and each person who holds 20% or more of the shares, or of any particular company.							
Full details of each director, so class of shares issued by the	ecretary and each person who holds 20% or more of the shares, or of any particular company.							
Full details of each director, so class of shares issued by the Name  Address	ecretary and each person who holds 20% or more of the shares, or of any particular company.  Position held  Date of birth							
Full details of each director, so class of shares issued by the Name  Address  Place of birth	ecretary and each person who holds 20% or more of the shares, or of any particular company.  Position held							
Full details of each director, sclass of shares issued by the  Name  Address  Place of birth  Name	ecretary and each person who holds 20% or more of the shares, or of any particular company.  Position held  Date of birth							
Full details of each director, so class of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth	Position held  Date of birth  Date of birth  Date of birth							
Full details of each director, so class of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth  Name  Name	ecretary and each person who holds 20% or more of the shares, or of any particular company.  Position held  Date of birth  Position held							
Full details of each director, so class of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth	Position held  Date of birth  Date of birth  Date of birth							
Full details of each director, so class of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth  Name  Address  Address	Position held  Date of birth  Position held  Date of birth  Date of birth							
Full details of each director, sclass of shares issued by the Name  Address  Place of birth	Position held  Date of birth  Position held  Date of birth  Date of birth							
Full details of each director, sclass of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth  Name  Address  Place of birth  Further details where app  Full details of each partner  Name	Position held  Date of birth  Position held  Date of birth  Date of birth							
Full details of each director, so class of shares issued by the Name  Address  Place of birth  Name  Address  Place of birth  Name  Address  Place of birth  Further details where app  Full details of each partner	Position held  Date of birth  Position held  Date of birth  Date of birth							

Name									
Address									
Place of birth				Date of birt	h				
Name	Name								
Address									
Place of birth	Place of birth Date of birth								
(state all criminal	Criminal Convictions - include directors, secretaries, shareholders and partners (state all criminal convictions, other than convictions for offences against provisions of the Land Transport Act 1998 not contained in Part 6, and offences to which the Criminal Records (Clean Slate) Act 2004.)								
Nat	ture of offence	е	Date of Conv	viction		Penalty Suffered			
3. Details of	Premises								
Address of licens	ed premises								
Proposed trading	•								
Type of premise									
						Grocery Store, Bo	ttle Store, F	lotel, Tavern)	
		any) does the	e applicant intend s	should be des	signated as:				
a) A restricted									
b) A supervised									
c) Undesignate									
		nal on constr	ruction or completion	on of building	y work?	Yes		No	
If Yes, state det	allS								
Does the applicant own the proposed licensed premises?  Yes  No									
If No, what is the full name and address of the owner									
What form of te	What form of tenure of the premises does the applicant have? (include type and term of tenure)								

4. Details of Conveyance (To be included only where the li	cence applies to any o	conveyance)					
State the type of conveyance e.g. bus, railway carriage							
Registration No.							
Home base address							
Home base dadress							
Trading or other name of the conveyance							
Does the smallerest own the managed linearest converses.		Vec	No.				
Does the applicant own the proposed licensed conveyance?		Yes	O No				
If No, what is the full name and address of the owner							
What form of tenure of the premises does the applicant have	e? (include type and t	term of tenure)					
5. Business Details							
What is the general nature of the business to be conducted by	the applicant if the	e licence is granted	2				
What is the general nature of the business to be conducted by	the applicant in the	e neemee is granted					
		(0.5	hotal mastaumant tayona)				
		(e.g.	hotel, restaurant, tavern)				
Is the sale of alcohol intended to be the principal purpose of	the business?	Yes	O No				
If No, what is intended to be the principal purpose of the bus	siness?						
	2. 1107 miles is interface to be the principal purpose of the business.						
Is the applicant engaged in or intending to be engaged in the							
any goods other than alcohol or food, or in the provision of any services other than those directly related to the sale or supply of alcohol and food?  Yes  No							
If Yes, what is the nature of those other goods or services?							
6. Endorsements							
o. Endorsements							
Does the applicant seek any endorsement, or renewal of end	orsement?	BYO					
Please tick if applicable.							
		Caterers	on-licence				
7. Alcohol Trading Hours							
On which days and during which hours does the applicant pro	ppose to sell or sup	ply alcohol under th	ne licence?				
Day of the week	Hours						
	from	to					
	from	to					
	from	to					
	from	to					
	from	to					
	from	to					
1	from	to					

For renewal a	pplications				
Do you propose	e to change your current licensed hours?		Y	'es	No
If Yes, please s	state new days/hours sought				
Day of the wee	ek	Hours			
		from		to	
		from		to	
		from		to to	
		from		to	
		from		to	
		from		to	
8. Certified M	lanager Details				
When alcohol is Sale and Supply	being sold or supplied to the public a certified $\gamma$ of Alcohol Act 2012, must be on duty at all times.	manager (a mes.	ppointed under	Section 217 of	fthe
How many certi	fied managers have been or will be employed?	,			
State the full d	etails of each manager or proposed manager				
Full Name					
Address			Date of birth		
Certificate No		Ce	rtificate expiry		
Full Name					
Address			Date of birth		
Certificate No		Се	rtificate expiry		
Full Name					
Address			Date of birth		
Certificate No		Се	rtificate expiry		
Full Name					
Address			Date of birth		
Certificate No		Се	rtificate expiry		
9. Conditions					
1. What systen	ns (including staff training) does the applicant	propose to p	out in place to er	nsure complia	nce
with the Sal	e and Supply of Alcohol Act 2012?				

2.	State the experience and training of applicant.
3.	What steps does the applicant propose to take to ensure that the requirements of the Act in relation to the sale and supply of alcohol to prohibited persons are observed?
4	. What steps does the applicant propose to take in regard to promoting the responsible consumption of alcohol?
5.	Please provide details of type and range of the following to be available for purchase:  5a. Food
	5b. Non-alcoholic Refreshments
	5c. Low-alcoholic Refreshments

. If no access to mains water supply, what potability of water is intended to be available?
. If no access to mains water supply, what potability of water is intended to be available:
What stone does the applicant propose to take to provide assistance with or information about alternative for
. What steps does the applicant propose to take to provide assistance with, or information about, alternative for of transport from the premises?
of transport from the premises:
For renewal applications
10. Are there any changes sought to the present conditions of the licence? Yes No
If Yes, what changes are sought? (Refer to section 5, Alcohol Trading Hours if applicable)
What are the full reasons for the changes sought?
What are the full reasons for the changes sought?
What are the full reasons for the changes sought?
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10. Amenity and Good Order
The reporting agencies must consider the effect the issue of the licence will have on amenity and good order. Please answer the following questions to support your application.
<ol> <li>State the proximity of all sensitive sites (e.g. childcare centres, schools and churches) within a 500m radius of the premises (a site plan would assist).</li> </ol>
the premises (a site plan would assist).
2. State the number of residential neighbours within a 50m radius of the premises.
3. What security systems does the applicant have in place (e.g. lighting indoor/outdoor CCTV) and where?
4. Can the entire premises be seen by the cashier? Yes No
5. Where there are blind spots, are mirrors or CCTV installed? Is the internal lighting inside the premises suitable? Please describe.
6. Is there good visibility into and from the premises and the street? Please describe.
7. Does the lighting outside the premises discourage loitering? Does the lighting allow staff to check ID's? Please
describe.
8. Will the applicant employ security staff? Yes No
If Yes, when will the security staff be used?

	What formal registration or qualification (such as Certificate of Approval) will security staff have?						
9.	Is the applicant involved in any mystery shopper/pseudo Controlled purchase operation programmes?  Yes  No						
	If Yes, please state details						
	21 Testy preuse state details						
10.	Does the applicant have a till prompt system regarding age Checks?						
	If Yes, please state details						
11.	What staff training is provided regarding compliance with the Act and Host Responsibility practices? Explain the content, duration and how often this training is provided. (Please provide copies of any written material you supply to staff regarding staff training)						
Г							
12.	What other systems and staff does the applicant have in place (or intend to have in place) for compliance with the Act?						
Г	THE ACC:						
L							
13	. State clearly all types of entertainment the applicant will be providing, in particular, anything involving amplified music or large crowd-related noise.						

14. Does the applicant have a noise management plan or acoustic report? Yes No If YES, please attach
15. What soundproofing has been undertaken?
16. Where the application relates to the issue of a <b>new licence</b> :
Is this your first licensed premises? Yes No
If Yes, please attach a copy of your financial plan.
17. What is the market for the business?
18. The granting, or renewal of this application will contribute to the Object of the Act by:
Steps taken to ensure the sale, supply and consumption of alcohol will be undertaken safely and responsibly:
Steps taken to minimise the harm caused by the excessive or inappropriate consumption of alcohol:
If the application relates to renewal of on-licence:
19. Have you had any complaints from neighbours (including confirmed noise complaints) that you are aware of?
21. Marz Julius and Complemes and Complemes and Grant

20. Has	s your business be	een subject to a	Police Controlled	Purchase Op	peration (CPO)?. I	f so what wer	e the results?
21. Ha	s your business e	ver appeared be	fore the Alcohol I	Regulatory a	nd Licensing Autho	ority? If so, fo	r what reason?
11. Appl	icant Signatur	e					
Name (prin	t cloarly)						
varrie (priir	. Clearry)						
Signature							
(If applying a	s a company/partners	hip etc. please state	your relationship e.g.	secretary, direc	tor)		
							20
Dated at		t	his	day of			20

#### NOTES

- 1. This form must be accompanied by the prescribed fee.
- 2. Within 20 working days after filing this application with the District Licensing Committee (or 10 working days if it is an application for renewal), the applicant must give public notice of it in form 7. The notice must be given in compliance with regulation 36, 37, or 38 of the Sale and Supply of Alcohol Regulations 2013 (whichever applies to this application).
- 3. Except in the case of a conveyance, within 10 working days after filing this application with the District Licensing Committee, the applicant must ensure that notice of this application in form 7 is attached in a conspicuous place on or adjacent to the site to which this application relates (unless the Secretary of the District Licensing Committee agrees that it is impracticable or unreasonable to do so).
- 4. Application fees are set by the Ministry of Justice www.justice.govt.nz



## **New Zealand Police Supplement**

Application for On-Licence or Renewal of On-Licence

The New Zealand Police are required by the Sale and Supply of Alcohol Act 2012 to make enquiries into the suitability of the applicant. This will involve informing the District Licensing Committee and the Alcohol Regulatory and Licensing Authority of any convictions or concerns involving the applicant. Should there be any concerns the applicant will also be informed.

By signing this form, you consent to the release of the information.

### **APPLICANT AUTHORISATION**

Licensee /Premise Name	
Name ( ) and ( )	
Name (print clearly)	
Signature	
Date	



Fire Evacuation Scheme Declaration
Application for On-Licence or Renewal of On-Licence
(Sections 100 and 127, Sale and Supply of Alcohol Act 2012)

NOTE: This declaration is to be completed, signed and returned with your application. This declaration will be forwarded, with your application to the NZ Fire Service.

Maximum number of persons allowed on the premises	
Maximum number of staff employed at any one time	
What hazards, substances are stored on the premises (if any)	
I,	
(full name)	
declare the premises	
(name of licensed premises)	
for which an On-Licence / Renewal of On-Licence is being applied for, state that:	
1. The building in which the premises are situated has an evacuation scheme for purequirements of Section 76 of the Fire and Emergency New Zealand Act 2017; o	
2. The building, by reason of its current use, does not require such, or the building meet the requirements pursuant to Section 76(3) of the Fire and Emergency New	
3. A draft evacuation scheme is currently before Fire and Emergency New Zealand	for approval.
Name (original planethy)	
Name (print clearly)	
Signature	
Dated at day of	20
Dated at this day of	



#### **Public Notice**

(Section 101, Sale and Supply of Alcohol Act 2012)
(Form 7)

# Public notice of application for on-licence, off-licence, or club licence (or application for variation of conditions of on-licence, off-licence, or club licence)

This notice must also be displayed in a conspicuous place to the site to which the application relates within 10 working days of filing your application.

This notice must be sent to the Waitomo News and published twice, one week apart, within 20 working days of filing your application.

It is your responsibility to do this.

(Full name, address and occupation of applicant) has made application to the District Licensing Committee at Waitomo District Council, Te Kuiti for the issue / renewal / variation of conditions of an on-licence in respect of the premises situated at (address) known as The general nature of the business conducted (or to be conducted) under the licence is (e.g. hotel, tavern, restaurant, entertainment, night club) The days on which and the hours during which alcohol is (or is intended to be) sold under the licence are (specify days and hours) The application may be inspected during ordinary office hours at the office of the Waitomo District Licensing Committee, Waitomo District Council, Queen Street, Te Kuiti. Any person who is entitled to object and wishes to object to the issue of the licence may, not later than 15 working days after the date of the publication of this notice, file a notice in writing of the objection with the Secretary of the District Licensing Committee at Waitomo District Council, PO Box 404, Te Kuiti 3941. No objection to the issue of a licence may be made in relation to a matter other than a matter specified in section 105(1) of the Sale and Supply of Alcohol Act 2012. No objection to the renewal of a licence may be made in relation to a matter other than a matter specified in the section 131 of the Sale and Supply of Alcohol Act 2012. This is the **first / second / only** publication of this notice. This notice was first published on: